

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)				Attorney Docket No.: 5489.P045X		Application Number: 09/805,489	
Page 1 of 3				First Named Inventor: Wayne V. Sorin			
				Filing Date: March 13, 2001			
<b>U.S. PATENT DOCUMENTS</b>							
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (If known)				
		4,768,851		Shaw et al.	9/6/1988		
		4,781,425		Risk et al.	11/1/1988		
		4,971,417		Krinsky et al.	11/20/1990		
		5,022,732		Engan et al.	6/11/1991		
		5,532,864		Alexander et al.	7/2/1996		
		5,537,238		Janniello et al.	7/16/1996		
		5,550,940		Vengsarkar et al.	8/27/1996		
		5,600,466		Tsushima et al.	2/4/1997		
		5,600,473		Huber	2/4/1997		
		5,652,809		Aronson	7/29/1997		
		5,805,751		Kewitsch et al.	9/8/1998		
		5,864,414		Barnsley et al.	1/26/1999		
		5,953,470		Toyohara	9/14/470		

<b>FOREIGN PATENT DOCUMENTS</b>								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		KR	1997-24796		KIM, Byung Yoon	6/16/1997		
		DE	3430766	A1	Stocker, Helmut	8/21/1984		
		EP	0 143 583	A2	Shaw, Herbert John	11/15/1984		
		EP	0 144 190	A2	Shaw, Herbert John	11/20/1984		

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Page 2 of 3	First Named Inventor: Wayne V. Sorin	
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		Number	Kind Code <sup>2</sup> (If known)			
		5,991,476		Baney et al.	11/23/1999	
		6,021,237		Kim et al.	2/1/2000	
		6,104,856		Lampert	8/15/2000	
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		6,253,002	B1	Kim et al.	6/26/2001	
		6,266,462	B1	Kim et al.	7/24/2001	
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		6,289,699	B1	Kewitsch et al.	9/18/2001	
		6,292,290	B1	Wan et al.	9/18/2001	
		6,343,165	B1	Kim et al.	1/29/2002	
		6,510,261	B2	Sorin et al.	1/21/2003	
		6,539,148	B1	Kim et al.	3/25/2003	

### FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
		EP	0 221 560	A2	Aberson, James Ashley, Jr.	11/6/1986		

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<b>OTHER ART – NO PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
		PCT Notification of Transmittal of the International Search Report, PCT/US01/15730, International Filing Date: 6/15/2001, Date of Mailing: 5/29/2002.	
		M.-Y. JEON et al., "An Electronically Wavelength Tunable Mode-Locked Fiber Laser Using an All-Fiber Acousto-Optic Tunable Filter", Proceedings of the 10th International Conference, Del Coronado, CA May 28- June 1, 1996, pp. 20-21.	
		D. S. STARODUBOV et al., "All-Fiber Bandpass Filter with Adjustable Transmission Using Cladding-Mode Coupling", IEEE PHOTONICS TECHNOLOGY LETTERS, Vol. 10, No. 11, November 1998, pp. 1590-1592.	
		M. G. XU et al., "Tunable fibre bandpass filter based on a linearly chirped fibre Bragg grating for wavelength demultiplexing", Electronics Letters, Vol. 32, No. 20, September 26, 1996, pp. 1918-1919.	
		K. SUGDEN et al., "Fabrication and Characterization of Bandpass Filters Based on Concatenated Chirped Fiber Gratings", Journal of Lightwave Technology, Vol. 15, No. 8, August 1997, pp. 1424-1432.	
		TIMOTHY E. DIMMICK et al., "All-Fiber Acousto-Optic Tunable Bandpass Filter", Optical Society of America, 2000, pp. 3 total.	
		IN KAG HWANG et al., "All-fiber-optic nonreciprocal modulator", Optics Letters, Vol. 22, No. 8, April 15, 1997, pp. 507-509.	

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